

Attorney's Docket No.: 109910-130358

IPN: 1009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application for:

Kenyon et al.

Application No.: 09/768,658

Filed: January 23, 2001

Patent No.: 7,143,406

Issued: November 28, 2006

For: ASYNCHRONOUS SOFTWARE  
UPDATE

Examiner: Antony Nguyen-Ba

Art Group: 2192

Confirmation No.: 3790

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: May 3, 2007

Typed or Printed: Yvette L. Chriscaden

Signature: Yvette L. Chriscaden

Attn: Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**

MAY 09 2007

**of Correction**

**REQUEST FOR CERTIFICATE OF CORRECTION**

Dear Sir:

Upon review of the above-referenced Letters Patent, Applicant noted errors that are the mistake of the Applicant. Those errors are as follows:

Column 3

Line 12, "...specifically, its' computing..." should read --...specifically, its computing...--.

Column 9

Line 16, "... (from a distribution server(not shown)..." should read --...(from a distribution server (not shown))...--.

Line 53, "...points in times..." should read --...points in time...--.

Line 59, "...third part servers..." should read --...third party servers...--.

MAY - 9 2007

Line 59, "...points in times..." should read --...points in time...--.

Column 10

Line 24, "...points in times..." should read --...points in time...--.

Line 31, "...third part servers..." should read --...third party servers...--.

Line 31, "...points in times..." should read --...points in time...--.

Line 63, "...points in times..." should read --...points in time...--.

Column 11

Line 2, "...third part servers..." should read --...third party servers...--.

Line 2, "...points in times..." should read --...points in time...--.

Column 12

Line 16, "...third part servers..." should read --...third party servers...--.

Line 16, "...points in times..." should read --...points in time...--.

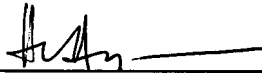
Enclosed is a Certificate of Correction (in duplicate) and it is respectfully requested that a Certificate of Correction be issued. This request for correction is made under the provisions of 37 CFR 1.323.

Enclosed is Check No. 14540 to cover the fee of \$100.00. The commissioner is hereby authorized to charge any fees associated with this paper or to credit any overpayments to Deposit Account No 500393. A Fee Transmittal is enclosed in duplicate for processing purposes.

Respectfully submitted,

SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: May 3, 2007 \_\_\_\_\_

  
\_\_\_\_\_  
Al AuYeung  
Reg. No. 35,432

Pacwest Center, Suite 1900  
1211 SW Fifth Avenue  
Portland, Oregon 97204  
Telephone: 503-222-9981

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 7,143,406

APPLICATION NO.: 09/768,658

ISSUE DATE : November 28, 2006

INVENTOR(S) : Jeremy A. Kenyon and Geoffrey K. Bauman

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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MAILING ADDRESS OF SENDER (Please do not use customer number below):

Schwabe Williamson & Wyatt PC

1211 SW 5th Avenue, Suite 1900

Portland, OR 97204

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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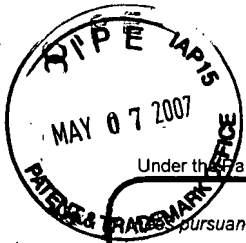
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Effective on 12/08/2004.

pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**100.00****Complete if Known**

Application Number	09/768,658
Filing Date	January, 23, 2001
First Named Inventor	Kenyon et al.
Examiner Name	Antony Nguyen-Ba
Art Unit	2192
Attorney Docket No.	109910-130358

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: **500393** Deposit Account Name: **Schwabe Williamson**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
_____ - 20 or HP = _____	x _____	= _____	

HP = highest number of total claims paid for, if greater than 20.

<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
_____ - 3 or HP = _____	x _____	= _____	

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

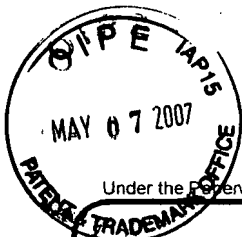
Other (e.g., late filing surcharge): **Certificate of Correction****Fees Paid (\$)****\$100.00****SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	35,432	Telephone	206-622-1711
Name (Print/Type)	AI AuYeung	Date	May 3, 2007		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2006**☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**100.00****Complete if Known**

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under 37 CFR 1.16 and 1.17☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

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